

STATE: IOWA						
WAIVER REQUESTS	ACTION					
	Appr	Pend- ing (PY 98)	No Auth	Not Needed	Dis- Appr	Comments
Program						
1. Exclude SSDI as family income for eligibility purposes. (IA97-001)	X					
2. Exclude up to 50 percent of SSI and Old Age Survivors' Insurance benefits payments. (IA97-002)	X					
3. Permit individualized objective assessment and individual service strategies without Federal prescriptive requirements. (IA97-003)	X					Governor develops alternative policy.
4. Provide stand-alone job search assistance, job search skills and job club for both youth and adult (Titles II and III). (IA97-004)	X					
5. Provide stand-alone work experience for both youth and adults. (IA97-005)	X					
6. Extend title II needs-based payments policy to title III participants. (IA97-006)	X					13 week eligibility retained.
7. Allow pre-employment and work maturity skills training as a stand-alone activity (IA97-007).	X					
8. Permit flexible youth OJT wage and provide OJT for school dropouts without concurrent enrollment in school. (IA97-008 and 009)	XX					
9. Permit subsidized employment with private-for-profit employers to permit limited internships for youth who do not meet economically disadvantaged requirements under "10% window." (IA97-010)	X					

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10. Allow public service employment (PSE). (IA97-011)					X	DOL believes there are sufficient services available through the use of work experience in the public and private non-profit sectors and OJT with private for-profit employers without PSE.
11. Eliminate the 90 and 30 day periods of inactive status for adults and youth who are on break from Institutional Skills Training of temporarily unable to participate in a scheduled activity. (IA97-012)				X		
12. Permit follow-up data to be collected through other means as well as direct participant contact. (IA97-013)	X					However, JTPA SPIR instructions in TEIN 5-93, Change 1, requiring follow-up by participant contact for the 13th week after termination are waived if the State implements alternative provisions in "JTPA Statutory Waiver Guidelines: Substitution of Wage Record Follow-Up for Survey Follow-Up in JTPA Performance Standards" and in future implementing guidance."
13. Allow Governor flexibility to develop content & format of plans for the State's workforce development services. (IA97-014)				X		Deferred: guidelines provided to State for development of plans; DOL will consider waivers as needed.

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14. Eliminate subsets of programs and combine into one adult and one youth program. (IA97-017)					X	Commingling of appropriated funds is not allowable; also, contrary to the eligibility exception to the Secretary's waiver authority.
Administrative						
1. Eliminate competitive procurement & rely on sole source procurement to the extent possible. (IA97-015)					X	Competition has a positive impact on the services provided.
2. Eliminate the 80 day plan publication requirement. (IA97-016)					X	Secretary's waiver authority excepts review and approval of plans. Also, the client population needs the opportunity to review and comment on services being offered in the area covered by the plan.
3. Allow the use of a funds contributed and/or budget based cost allocation system. (IA97-018)					X	Contrary to generally accepted accounting principles.
4. Allow unlimited intra/inter-title transfer of title II and title III funds. (IA97-019)					X	The Secretary does not have the authority to increase the amount of money that may be transferred between titles beyond that already provided in JTPA.

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5. Create a new and different two cost category system. (IA97-020)	X					DOL must ensure consistent reporting across states and must maintain the ability to compile comparable data for reports required by and for the Secretary. However, cost limitations for titles II and III (except for rapid response activities and national reserve account grants) are eliminated and the number of cost categories has been reduced to two: Administration and Program.
6. Allow JTPA section 123 8-percent funds to be spent on items other than the provision of services to eligible individuals in projects described at JTPA section 123(a)(2)(A),(B), and (C). (IA97-021)			X			Would be contrary to the purpose for which the 8-percent funds are allotted to the State education agency responsible for education and training and cooperative agreements and may not be waived.
7. Waive the required records retention period. (IA97-022)					X	These requirements are imposed to ensure accountability and for Civil Rights Act purposes.
8. Eliminate terminations due to illness or death from performance standards calculations. (IA97-023)	X					

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9. Exclude work-study payments and income from all scholarships, grants, fellowships and assistantships from the definition of "family income" for the purpose of JTPA income eligibility determinations. (IA97-024)					X	Educational financial assistance received under title IV of the Higher Education Act is already excluded from family income, as are other needs-based scholarships. However, any assistance which consists of students' receiving wages is considered income and included for income eligibility purposes.
10. Allow flexibility concerning membership composition of the private industry council. (IA97-025)			X			Secretary does not have authority to waive statutory or regulatory requirements relating to "the establishment and functions of service delivery areas and private industry councils."
11. Revise the definition of "family income" to match the definition of income in title V of the Older Americans Act for JTPA eligibility determinations for services to older individuals. (IA97-026)			X			Secretary has no authority to substitute the title V Older Americans Act income eligibility provisions for JTPA income eligibility requirements.
Wagner-Peyser						
1. Waive requirement for submission of Annual Plan for PY 1997. (IA97-027)	X					

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2. Waive all data elements on the ETA 9002 report, except as they pertain to vets, claimants, and the disabled. (IA97-028)					X	Details describing data elements to be omitted from or included in the proposed reporting system need to be in the waiver request itself.
3. Waive the required one-year time limit for retaining the work application and job order documents. (IA97-029)					X	This retention time is appropriate for compliance and review purposes. States have the flexibility to maintain documents as either electronic or hard copy records.
<p>Period of the granted waivers: PY 98 (4/01/98-6/30/990).</p> <p>Performance goals under the granted waivers: PY 98 (4/01/98-6/30/99) 5% increase over 97 actual performance.</p> <p>NOTE: A cell with more than one "X" indicates a situation where two (2) discrete waivers in the same area have been combined for response. Each should be counted in the total.</p>						